

DOCKET NO.: MSFT-0109/  
127334.9

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado, et al.

Serial No.: 09/482,840

Group Art Unit: 2768

Filing Date: January 13, 2000

Examiner: Not Yet Assigned

For: METHOD FOR OBTAINING A BLACK BOX FOR PERFORMING  
DECRYPTION AND ENCRYPTION FUNCTIONS IN A DIGITAL RIGHTS  
MANAGEMENT (DRM) SYSTEM

DATE OF DEPOSIT:

August 14, 2002

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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Steven H. Meyer  
TYPED NAME: Steven H. Meyer  
REGISTRATION NO.: 37,189

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98,  
information relating to the above-identified application is hereby disclosed. Inclusion of  
information in this statement is not to be construed as an admission that this information is  
material as that term is defined in 37 C.F.R. §1.56(b).

- ☒ In accordance with §1.97(b), since this Information Disclosure Statement is  
being filed either within three months of the filing date of the above-identified  
application, within three months of the date of entry into the national stage of  
the above identified application as set forth in §1.491, before the mailing date  
of a first Office Action on the merits of the above-identified application, or  
before the mailing date of a first office action after the filing of request for  
continued examination under §1.114, no additional fee is required.

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- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith.
- EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

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- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed.
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

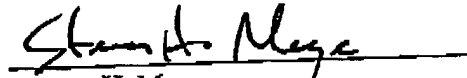
Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.  
This form is submitted in duplicate.

Enclosed is a copy of the PCT International Search Report dated July 24, 2002, which indicates the references to be relevant.

There are no listed references which are not in the English language.

Date:

August 14 2002

  
Steven H. Meyer  
Registration No. 37,189

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

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Sheet 1 of 1

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| <b>Form PTO-1449 Modified</b><br><br>List of Patent and Publications<br>Cited by Applicant<br>(Use several sheets if necessary)<br><br>U.S. Department of Commerce<br>Patent and Trademark Office | Docket No.<br><b>MSFT-0109/<br/>         127334.9</b> | Serial No.<br><b>09/482,840</b> |
|   | Applicant<br><b>Marcus Peinado, et al.</b>            |                                 |
|   | Filing Date<br><b>January 13, 2000</b>                | Group<br><b>2768</b>            |

## U. S. PATENT DOCUMENTS

| Examiner<br>Initial |  | Document<br>No. | Date | Name | Class | Subclass |
|---------------------|--|-----------------|------|------|-------|----------|
|                     |  |                 |      |      |       |          |
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## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial |    | Document No. | Date     | Country         | Translation |    |
|---------------------|----|--------------|----------|-----------------|-------------|----|
|                     |    |              |          |                 | YES         | NO |
|                     | 77 | 0 665 486    | 08/02/95 | EPO             |             |    |
|                     | 78 | 0 715 245 A1 | 06/05/96 | EPO             |             |    |
|                     | 79 | 0 887 723 A  | 12/30/98 | EPO             |             |    |
|                     |    |              |          |                 |             |    |
|                     |    |              |          |                 |             |    |
| EXAMINER            |    |              |          | DATE CONSIDERED |             |    |